



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Sixto, Jr.

Group Art Unit:

Serial No.: 10/730,236

Examiner:

Filed: December 8, 2003

Attorney Docket: ISD-056C1

Title: Surgical Clip

I hereby certify that this correspondence is being deposited on this day with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.


David S. Jacobson

12/13/05
Date

Honorable Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313

SUPPLEMENTAL SUBMITTAL OF DOCUMENTS PURSUANT TO DUTY OF DISCLOSURE

Pursuant to applicant's duty of disclosure under 37 CFR Section 1.56, enclosed is a completed form PTOL-1449 which lists the documents relating to the above-referenced patent application. Since this document submittal is being presented prior to the first examination on the merits, no fee is due herewith.

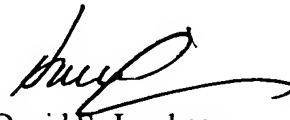
The attached document is as follows:

"What Endoscopic Accessories Do We Really Need?" Swain, 1997. Discusses the need for various endoscopic accessories.

The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that the brief descriptions of the references herein necessarily describe the most material aspects of the

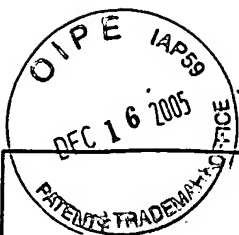
references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'David S. Jacobson', with a stylized flourish extending from the end.

David S. Jacobson
Reg. #39,235
Attorney for Applicant(s)

Gordon & Jacobson, P.C.
60 Long Ridge Road
Suite 407
Stamford, CT 06902
(203) 323-1800



BEST AVAILABLE COPY

INFORMATION DISCLOSURE CITATION

PAGE 1 OF 7

Atty Docket No.
ISD-056C1Serial No.
10/730,236Applicant
Robert Sixto, Jr. Et al.Filed
12/08/03Group
3764

US PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class	Subclass	Filing date if approp.
	A						
	B	4,038,987	8/2/77	Komiya	128	321	
	C	4,418,694	12/6/83	Beroff et al.	128	326	
	D						
	E	4,476,865	10/16/84	Failla et al.	128	326	
	F	4,572,181	2/25/86	Mattler	128	305	
	G	4,741,336	5/3/888	Failla et al.	128	334	
	H	5,030,226	7/9/91	Green et al.	606	158	
	I	5,163,945	11/17/92	Oritz et al.	606	142	
	J	5,171,249	12/15/92	Stefanchik et al.	606	142	
	K						
	L						
	M						
	N	5,403,326	4/4/95	Harrison et al.	606	139	
	O						
	P	5,433,721	7/18/95	Hooven et al.	606	143	
	Q	5,439,468	8/8/95	Schulze et al.	606	143	
	R						
	S						
	T	5,482,054	1/9/96	Slater et al.	128	751	
	U						
	V	5,497,933	3/12/96	DeFonzo et al.	227	175.1	
	W						
	X	5,562,694	10/8/96	Sauer et al.	606	176	
	Y	5,571,116	11/5/96	Bolanos et al.	606	139	
	Z	5,582,617	12/10/96	Klieman et al.	606	170	

BEST AVAILABLE COPY

INFORMATION DISCLOSURE CITATION PAGE 2 OF 7				Atty Docket No. ISD-056C1		Serial No. 10/730,236	
				Applicant Robert Sixto, Jr. Et al.			
				Filed 12/08/03		Group 3764	
US PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Name	Class	Subclass	Filing date if approp.
	2A	5,601,573	2/11/97	Fogelberg et al.	606	143	
	2B						
	2C	5,667,517	9/16/97	Hooven	606	151	
	2D	5,673,841	10/7/97	Schulze et al.	227	175.1	
	2E	5,681,330	10/28/97	Hughett et al.	606	143	
	2F	5,722,421	3/3/98	Francese et al.	128	751	
	2G						
	2H	5,766,189	6/16/98	Matsuno	606	158	
	2I	5,800,449	9/1/98	Wales	606	172	
	2J	5,833,695	11/10/98	Yoon	606	139	
	2K	5,858,018	1/12/99	Shipp et al.	606	142	
	2L	5,897,507	4/27/99	Kortenbach et al.	600	562	
	2M	5,906,630	5/25/99	Anderhub et al.	606	205	
	2N	5,941,439	8/24/99	Kammerer et al.	227	67	
	2O	5,993,465	11/30/99	Shipp et al.	606	142	
	2P						
	2Q	6,086,600	7/11/00	Kortenbach	606	139	
	2R	6,099,537	8/8/00	Sugai et al.	606	143	
	2S	6,139,555	10/31/00	Hart et al.	606	139	
	2T	6,149,660	11/21/00	Laufer et al.	606	143	
	2U	6,159,223	12/12/00	Danks et al.	606	142	
	2V						
	2W						

INFORMATION DISCLOSURE CITATION PAGE 3 OF 7				Atty Docket No. IDS-056C1		Serial No. 10/730,236	
				Applicant Robert Sixto, Jr. Et al.			
				Filed 12/08/03		Group 3764	
US PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Name	Class	Sub- class	Filing date if approp.
	A	5,174,276	12/29/92	Crockard	128	4	
	B	5,222,961	06/29/93	Nakao et al.	606	143	
	C						
	D						
	E						
	F						
	G						
	H						
FOREIGN PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Country	Class	Sub- class	<u>Translation</u> Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	3AA	"What Endoscopic Accessories Do We Really Need?", C. Paul Swain, Emerging Technologies in Gastrointestinal Encoscopy, <i>Gastrointest. Endosc.</i> , Vol. 7, No. 2, pp. 313-330 (April 1997).					
EXAMINER				DATE CONSIDERED			

BEST AVAILABLE COPY

INFORMATION DISCLOSURE CITATION PAGE 4 OF 7				Atty Docket No. ISD-056C1		Serial No. 10/730,236	
				Applicant Robert Sixto, Jr. Et al.			
				Filed December 8, 2003		Group 3764	
US PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Name	Class	Sub- class	Filing date if approp.
	A	4,038,987	8/2/77	Komiya	128	321	
	B	5,403,326	4/4/95	Harrison et al.	606	139	
	C	5,433,721	7/18/95	Hooven et al.	606	143	
	D	5,497,933	3/12/96	DeFonzo et al.	227	175.1	
	E	5,667,517	9/16/97	Hooven	606	151	
	F	5,833,695	11/10/98	Yoon	606	139	
	G	5,941,439	8/24/99	Kammerer et al.	227	67	
	H	5,993,465	11/30/99	Shipp et al.	606	142	
	I	5,156,609	10/92	Nakao et al.	606	142	
	J	5,383,880	01/95	Hooven	606	142	
	K						
FOREIGN PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Country	Class	Sub- class	<u>Translation</u> Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages Etc.)							
	1						
EXAMINER				DATE CONSIDERED			

INFORMATION DISCLOSURE CITATION PAGE 5 OF 7				Atty Docket No. ISD-056C1		Serial No. 10/730,236	
				Applicant Robert Sixto, Jr. Et al.			
				Filed December 8, 2003		Group 3764	
US PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Name	Class	Sub- class	Filing date if approp.
	A	5,271,543	12/93	Grant et al.	227	179.1	
	B	5,395,030	03/95	Kuramoto et al.	227	179.1	
	C	5,779,718	07/98	Green et al.	606	143	
	D	5,792,150	08/98	Pratt et al.	606	143	
	E	5,904,693	05/99	Dicesare et al.	606	143	
	F	6,139,555	10/00	Hart et al.	606	139	
	G						
	H						
	I						
	J						
	K						
FOREIGN PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Country	Class	Sub- class	<u>Translation</u> Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	1						
EXAMINER				DATE CONSIDERED			

BEST AVAILABLE COPY

INFORMATION DISCLOSURE CITATION PAGE 6 OF 7				Atty Docket No. ISD-056C1		Serial No. 10/730,236	
				Applicant Robert Sixto, Jr. Et al.			
				Filed 12/08/03		Group 3764	
US PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Name	Class	Subclass	Filing date if approp.
	A	4,038,987	8/2/77	Komiya	128	321	
	B	4,418,694	12/6/83	Beroff et al.	128	326	
	C	4,444,187	4/24/84	Perlin	128	346	
	D	4,476,865	10/16/84	Failla et al.	128	326	
	E	4,572,181	2/25/86	Mattler	128	305	
	F	4,741,336	5/3/88	Failla et al.	128	334	
	G	5,030,226	7/9/91	Green et al.	606	158	
	H	5,163,945	11/17/92	Oritz et al.	606	142	
	I	5,171,249	12/15/92	Stefanchik et al.	606	142	
	J	5,312,426	5/17/94	Segawa et al.	606	158	
	K	5,403,326	4/4/95	Harrison et al.	606	139	
	L	5,433,721	7/18/95	Hooven et al.	606	143	
	M	5,439,468	8/8/95	Schulze et al.	606	143	
	N	5,482,054	1/9/96	Slater et al.	128	751	
	O	5,497,933	3/12/96	DeFonzo et al.	227	175.1	
	P	5,522,823	6/4/96	Kuntz et al.	606	157	
	Q	5,562,694	10/8/96	Sauer et al.	606	176	
	R	5,582,617	12/10/96	Klieman et al.	606	170	
	S	5,601,573	2/11/97	Fogelberg et al.	606	143	
	T	5,667,517	9/16/97	Hooven	606	151	
	U	5,673,841	10/7/97	Schulze et al.	227	175.1	
	V	5,681,330	10/28/97	Hughett et al.	606	143	

BEST AVAILABLE COPY

INFORMATION DISCLOSURE CITATION PAGE 7 OF 7				Atty Docket No. ISD-056C1		Serial No. 10/730,236	
				Applicant Robert Sixto, Jr. et al.			
				Filed 12/08/03		Group 3764	
US PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Name	Class	Subclass	Filing date if approp.
	Aa	5,722,421	3/3/98	Francese et al.	128	751	
	Bb	5,741,283	4/21/98	Fahy	606	157	
	Cc	5,766,189	6/16/98	Matsuno	606	158	
	Dd	5,833,695	11/10/98	Yoon	606	139	
	Ee	5,858,018	1/12/99	Shipp et al.	606	142	
	Ff	5,897,507	4/27/99	Kortenbach et al.	600	562	
	Gg	5,906,630	5/25/99	Anderhub et al.	606	205	
	Hh	5,941,439	8/24/99	Kammerer et al.	227	67	
	Ii	5,993,465	11/30/99	Shipp et al.	606	142	
	Jj	5,993,476	11/30/99	Groiso	606	219	
	Kk	6,086,600	7/11/00	Kortenbach	606	139	
	Ll	6,099,537	8/8/00	Sugai et al.	606	143	
	Mm	6,139,555	10/31/00	Hart et al.	606	139	
	Nn	6,149,660	11/21/00	Laufer et al.	606	143	
	Oo	6,159,223	12/12/00	Danks et al.	606	142	
	Pp	2002/0198549	12/26/02	Sixto et al.			
	Qq						
	Rr						
	Ss						
	Tt						
	Uu						
	Vv						
	Ww						